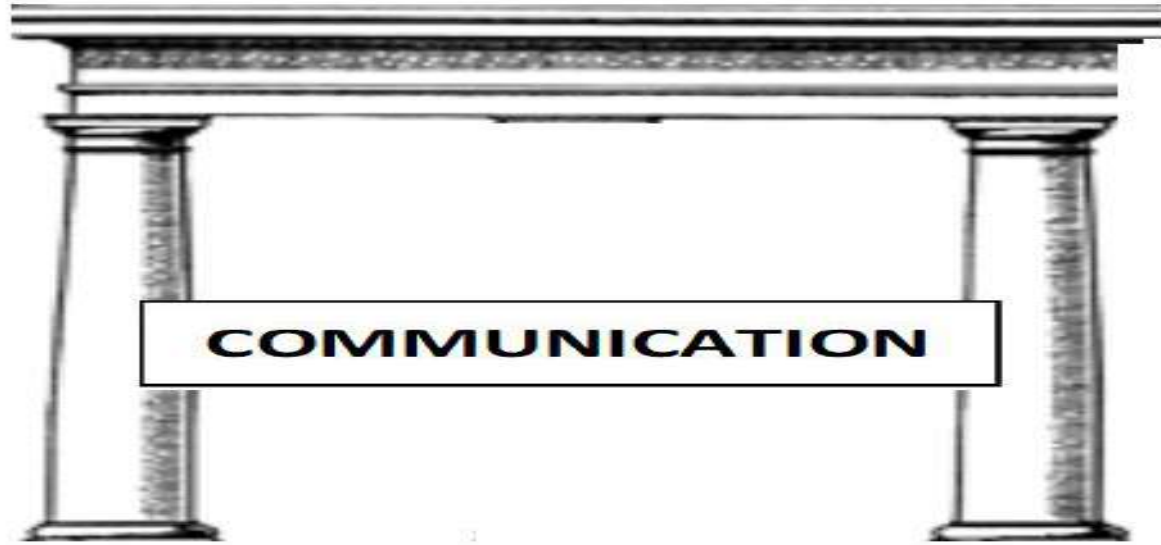


**PATIENT SAFETY IN ICU**



**COMMUNICATION**

**TEAM WORK**



Dr. George John,  
Critical Care Medicine  
Christian Medical College,  
Vellore

# The curious case of the blood in the ear....



Lady with Covid 19 on ventilator  
Informed that ENT has been called overnight for ear bleed  
Morning nurse came and said there was a mis-information  
She was on end of her shift previous day when,  
central line in jugular vein had to be changed urgently  
During procedure, blood went into ear and she had informed next shift nurse  
This was communicated to doctor as bleed from ear  
Doctor called for ENT consult!

*PROBLEM: Lack of clarity, Chinese whisper effect*

# The scenario of the virtual patient.....



Informed one morning by a duty doctor that there was an intubated Covid 19 patient in ward needing ICU transfer  
Gave message that a patient was being shifted out from ICU and bed would be ready in 30 minutes  
15 minutes later – emergency call for help for difficult intubation in ward  
ICU doctors rushed to scene – and 10 minutes later call for ICU bed  
Informed that only one bed was available booked for an intubated patient earlier  
There was confusion regarding number of patients needing emergency admission  
Sorted out that : “intubated” patient and “difficult” intubation were the same patient

*PROBLEM: No patient ID available at time of booking*

# The episode of a crash pre-transfer to the ward....



Patient from ICU post Covid 19 recovery stable, ready for transfer

Sudden hypotension just prior to transfer on to trolley – hypotension, hypoxia

Resuscitated, urgent evaluation for Pulmonary Embolism, CT-PA negative

Re evaluated records – as patients blood pressure continued to be labile

Record: patient had been on steroids which were stopped a year ago (already known) – cortisol sent: low value

Started stress dose steroids, patient stabilized, extubated after a few days and transferred

## *ISSUES:*

*Positive: Coordinated teamwork for resuscitation, get CT PA done and review records*

*Subnormal stress function of adrenals probably temporarily masked by dexamethasone used for Covid 19*

# The inappropriate transfer to ICU...



Elderly patient transferred from ward to ICU for Covid 19 illness on Non Invasive Ventilation

Deteriorated in ICU – needing intubation and ventilation

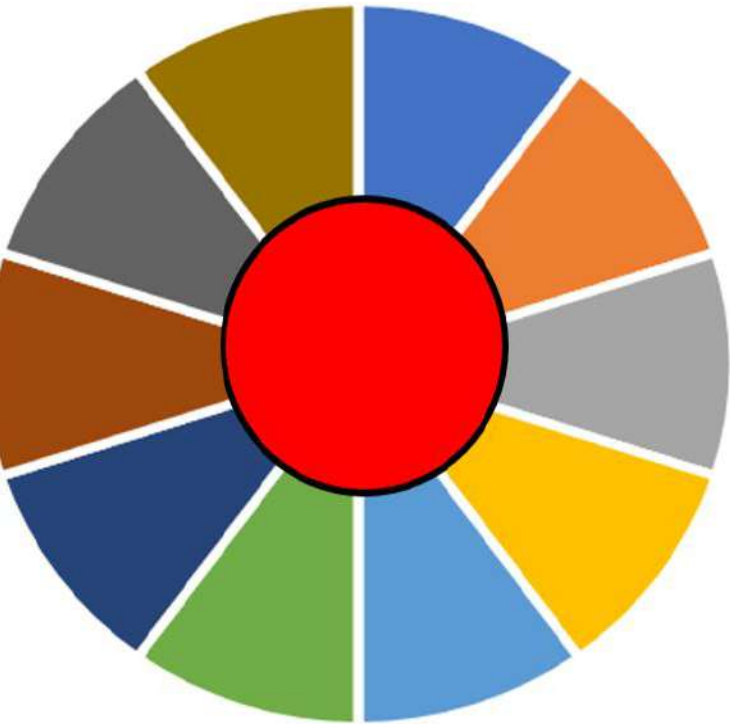
Reviewed records – patient with previous stroke, parkinsonism, dementia, bed bound

Reviewed with family and Primary unit consultant

Goal shifted to comfort care

*PROBLEM: Goal and outcome of ICU care not clearly identified prior to transfer to ICU n discussion with family*

# INTENSIVE CARE UNIT: PROFILE OF STAFFING



**PATIENT**

- DOCTORS
- NURSES
- TECHNICIANS
- CLERICAL
- CLEANING
- ATTENDERS
- IMAGING
- PHYSIOTHERAPY
- DIETICIAN
- PHAMACISTS

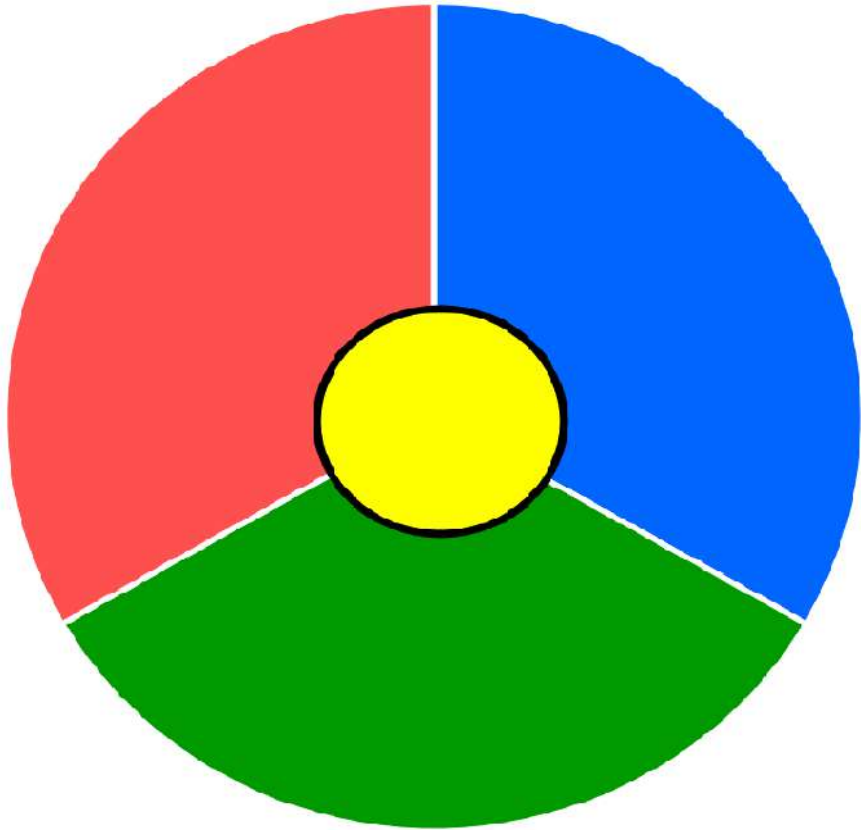
The number of total two person interactions in a group of  $n$  people can be calculated using the combination formula  ${}^n C_2$  which works out to  $[n(n-2)]/2$ .

However, this assumes A interacting with B is same as B interacting with A (as in two football teams playing with each other).

In an ICU, A giving info to B is not same as B seeking information from A , so, in this situation, the division by 2 is not warranted and the formula is  $n(n-1)$ .

Number of people	Interactions: A to B is not same as B to A
2	2
3	6
4	12
5	20
6	30
7	42
8	56
9	72
10	90

# ICU: Predominant interactions.....



**PATIENT**

■ DOCTORS ■ NURSES ■ TECHNICIANS

Number of people	Interactions: <i>A to B is not same as B to A</i>
2	2
3	6

3 shifts a day for each: INCREASES interactions

# Organisational Cultures

Westrum R. A typology of organisational cultures. Qual Saf Health Care 2004;13(Suppl II):22–27.

doi: 10.1136/qshc.2003.009522

<b>PARAMETER</b>	<b>HIERARCHICAL</b>	<b>BUREAUCRATIC</b>	<b>PERFORMANCE</b>
<b>Goal</b>	hierarchy based	rule based	solutions to optimize outcome
<b>Creativity, novelty</b>	eliminated	considered a problem	implemented if feasible
<b>Responsibility</b>	passed to top	stick by rules	shared
<b>Failure</b>	scapegoats sought	inquiry: guilty punished	problem focused not person focused
<b>Co-operation</b>	low	selective	high

Cultures determine how information is processed

Every form of culture has a place in a specific situation

The last mode is not necessarily the best for all situations but is usually more safe for all, open to change and improvement



# Number of interventions.....and errors

A look into the nature and causes of human errors in the intensive care unit. Donchin Y, Gopher D et al. Crit Care Med. 1995 Feb;23(2):294-300

DOI: [10.1097/00003246-199502000-00015](https://doi.org/10.1097/00003246-199502000-00015)

- Average of 178 activities per patient per day in ICU
- Errors: 1.7 errors per patient per day
- Equally between doctors and nurses
- Many due to communication problems
- Not an incurable disease but a preventable phenomenon

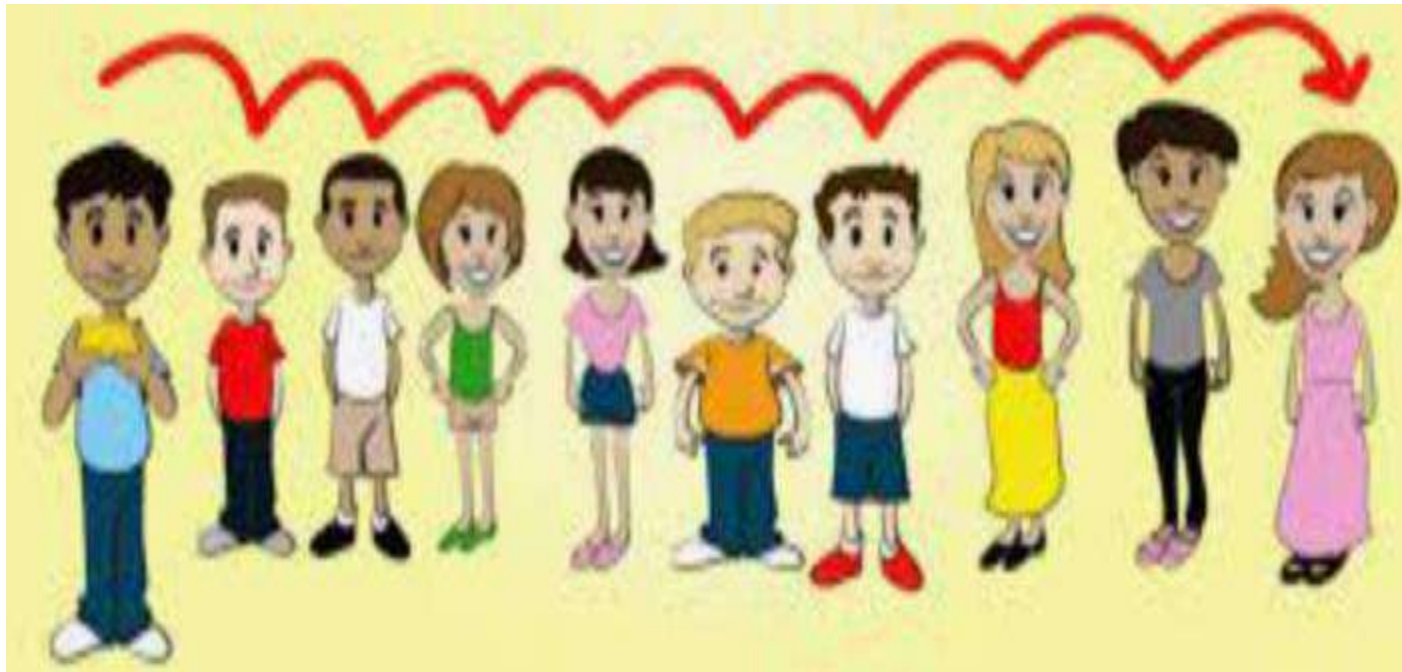
# Handovers between.....

- Intra Professionals: shift change
- Inter Professionals: within shift
- Ward-ICU (de-escalation/escalation)
- Services: imaging, laboratories, consultations with specialties
- Interhospital

# Vision for handover.....

- Transfer critical information – relevant, accurate, current, trends
- Seamless transition of care
- Continuing management plan to
  - improve outcome
  - reduce adverse events
- Efficient time management

# Game of Chinese whisper.....



# STRUCTURED HANDOVER

- WHO is calling (self ID), who is it about (patient ID)
- WHY is the communication being made (reason)
- WHAT is the problem (diagnosis)
- HOW is it to be rectified (management plan)

# Principles.....

- Patient centered
- Organised
- Focused
- Clear
- Dedicated time: minimize disturbances

# SBAR

Shahid, S., Thomas, S. Situation, Background, Assessment, Recommendation (SBAR) Communication Tool for Handoff in Health Care – A Narrative Review. *Saf Health* 2018; 4,:7-16 .  
<https://doi.org/10.1186/s40886-018-0073-1>

- **SITUATION:** *identify, chief complaints*
- **BACKGROUND:** *number of days, admission diagnosis, past history*
- **ASSESSMENT:** *vitals parameters, present condition*
- **RECOMMENDATION:** *plan of action*

# iSOBAR: Australia

iSoBAR — a concept and handover checklist: the National Clinical Handover Initiative. Jill M Porteous, Edward G Stewart-Wynne et al. Med J Aust 2009; 190 (11): S152-S156.

doi: 10.5694/j.1326-5377.2009.tb02625.x

- **Identify:** *self, patient, contact phone number*
- **Situation:** *chief complaint, diagnosis, reason for triggering this communication*
- **Observations:** *vital parameters, access: venous*
- **Background:** *past history, medications, allergies*
- **Agreed Plan:** *for transfer of care*
- **Read back:** *clarification, summary*



# What do studies show?

- Jigajinni S. The intensive care unit handover: the most stressful part of the shift. Brit J of Hosp. Med 2010; 71: M25-M27. DOI:[10.12968/hmed.2010.71.Sup2.46506](https://doi.org/10.12968/hmed.2010.71.Sup2.46506)
- KOWITLAWAKUL Y et al. Observation of hanover process in an intensive care unt: barriers and quality improvement strategy. International J for Qauality and healthcare 2015: 27: 99-104 [doi: 10.1093/intqhc/mzv002](https://doi.org/10.1093/intqhc/mzv002)
- Manias E et al. Perspectives of clinical handover process: a multi site survey across different health professionals. J Clin Nursing 2016; 25: 80-91. [doi: 10.1111/jocn.12986](https://doi.org/10.1111/jocn.12986)
- Malfait S et al. Do clinical handovers reduce handover duration? An observational study with implications for evidence based practice. Worldviews on Evidence based nursing 2018; 15:432-439 <https://doi.org/10.1111/wvn.12330>
- Ghosh S et al. Impact of structured clinical handover on communication and patient satisfaction. J of Patient Experience 2021: 1-6 <https://doi.org/10.1177/2374373521997733>

# Formats.....

- Hawthorne effect of studies: altered behaviour due to observer presence
- Decentralised (bedside) vs Centralised (group meeting) handover
- Oral vs Written
- Information pass over
  - memory
  - rough paper
  - check list (main headings only)
  - handover sheet (critical contents included)
  - electronic: messaging, EMR based
- Patient involvement for bedside (not applicable for ICU)

# Snapshot of studies.....on structured handover

- Distractions: people (other healthcare workers), events (monitor alarms), phone calls
- Time: some needed increased time for structured others less time; nurses longer than doctors
- Gaps between expectation and reality because of
  - Lack of training in handover
  - No senior role models
  - Not standardized
  - Not contextual: general vs specialty specific

## Information

- trends vs spot information at time of handover
- missing: Nurses and Docs: *DNR status*; Docs: *Infections and antibiotic sensitivity*
- irrelevant
- repetition
- miscommunication

# Problems.....

- DEDICATED “*INTERRUPTION-FREE*” TIME for handover
- TRAINING IN HANDOVER
- DE-CENTRALISED (bedside) vs GROUP
  - lack of group bonding and helping others out in bedside handovers

# Summary....

MATRIX OF RESULTS		LOCATION of Handover	
		Centralised: <i>good professional group bonding</i>	Bedside: <i>decreased professional group bonding</i>
STRUCTURED: consistent quality of information transfer	YES	decreased total time, time per patient variable	decreased time per patient
	NO	increased total time and time per patient	variable time

